

Our Reference:

## SPX Complaint Form

**Please complete this form and attach any supporting documentation and send via one of the options below:**

Investor Relations  
South Pacific Stock Exchange  
GPO Box 11689  
Suva, Fiji Islands  
Email: info@spx.com.fj

If you have queries regarding this form please contact Customer Service on +679 330 4130

Please choose the category(s) that the complaint relates to:

<b>Company Complaint</b>	<b>Clearing &amp; Settlement</b>	<b>Broker Complaint</b>
<b>Trading Activity Complaint</b>	<b>South Pacific Stock Exchange</b>	<b>Others</b> <i>(Please Specify Below)</i>

### SECTION 1: COMPLAINANTS DETAILS

Complainants First Name:

Complainants Surname:

Complainants Residential Address:

Complainants Postal Address:

Complainants Contact Details:

Home:

Business:

Mobile:

Email Address:

Shareholder Identification Number (SIN) *(If Applicable)*:

## SECTION 2: THE COMPLAINT

The listed Entity your complaint relates to:

Contact Person within the entity (*If applicable*)

Please provide full and specific details of the complaint including:

- ✕ Relevant dates
- ✕ Identification of the securities involved
- ✕ Instructions given
- ✕ Use and attach properly if you have used any extra pieces of paper

### SECTION 3:

Have you lodged this complaint anywhere else? If so, please provide the following information **otherwise skip to Section 4**

Yes

No

Who did you lodge your complaint with?

Who dealt with your complaint?

When was the complaint lodged?

What action was taken in relation to your complaint?

### SECTION 4:

Do you consent to SPX contacting the other party regarding this complaint? *(If applicable)*

Yes

No

Signature

Date

### DOCUMENTS

If you have relevant documents please send us a copy. **Do not send us the original documents now.** Keep original documents in a safe place in case they are needed later

-----**FOR OFFICE USE ONLY**-----

**DATE COMPLAINT RESOLVED**

**STAFF HANDLING COMPLAINT**

**RESULT OF COMPLAINT**

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