

Senior Trading and Compliance Officer

Join us at SPX and be part of the team that's shaping the future of Fiji's capital markets.



About SPX

The South Pacific Stock Exchange (SPX) is the only licensed securities exchange in Fiji and is regulated by the Reserve Bank of Fiji. SPX plays a crucial role in driving economic growth by providing a platform for capital raising and investment opportunities. We are now seeking a dynamic and dedicated **Senior Trading and Compliance Officer** to join our team and contribute to the expansion of our market.

About the Role

The Senior Trading and Compliance Officer will play a critical role within SPX's regulatory function, focusing on areas such as listed company compliance, broker supervision, trading operations, market surveillance, and company listings. This position requires a thorough understanding of SPX's regulatory frameworks and the stock market's trading operations, ensuring smooth and effective trading activities, including settlement processes. You will conduct regular meetings to address compliance issues, monitor market activity for potential risks, and provide training to listed entities, brokers, and stakeholders on regulatory requirements. Additionally, you will collaborate on special projects related to operational, regulatory, and development initiatives to support the Exchange's growth.

What We're Looking For

- **Qualifications:** A degree in Accounting, Finance, Economics, Law, or a related field.
- **Experience:** Minimum of 3 years' experience in a regulatory environment or financial services organization. Proven experience in managing compliance requirements and regulatory policies. A strong understanding of stock markets, capital raising, and investment processes is essential. Corporate finance or legal experience in a regulatory or compliance capacity is an added advantage.
- **Skills:** Strong communication, problem-solving, and process management skills. Ability to analyze and interpret complex regulations and data with meticulous attention to detail.
- **Attributes:** High levels of accountability, resilience, and the ability to work both independently and as part of a team. A proactive, innovative approach with a commitment to upholding compliance standards and market integrity.

Why Join SPX

- **Impact:** Play a crucial role in ensuring compliance and the integrity of Fiji's financial markets.
- **Growth:** Advance your regulatory and compliance expertise within a supportive, dynamic environment.
- **Opportunities:** Be part of a forward-thinking organization that supports professional development.

SPX is an equal opportunity employer. If you are passionate about financial markets and eager to make a difference, we encourage you to apply.

Send your resume and cover letter to vacancies@spx.com.fj with "Senior Trading and Compliance Officer" in the subject line by 20 September 2024.